HARYANA GOVERNMENT

HARYANA ELECTRICITY REGULATORY COMMISSION

Notification

The 29th November, 2007

Minimum Information to be maintained and the manner in which such Information is to be maintained by the Licensee or the Generating Companies

Regulation No. HERC/ 16 / 2007: - In exercise of the powers conferred on it by sub-section (1) and clause (zm) of sub-section (2) of section 181 of the Electricity Act 2003 (Act 36 of 2003) and all other powers enabling it in this behalf, the Haryana Electricity Regulatory Commission, after previous publication, hereby frames the following Regulations:-

1. **Short Title, Commencement, and interpretation. –** (1) These Regulations may be called the Haryana Electricity Regulatory Commission (Minimum Information to be maintained and the Manner in which such Information is to be maintained by the Licensee or the Generating Company) Regulations, 2007.

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(2) These regulations shall come into force on the date of their publication in the Haryana Government Gazette.

(3) These regulations shall extend to whole of the State of Haryana.

(4) The Punjab General Clauses Act 1898 (Act I of 1898) as applicable to the State of Haryana shall apply qua the interpretation of these regulations.

2. Definitions. - In these regulations, unless the context otherwise requires, -

(a) '**Act**' means the Electricity Act, 2003;

(b) **'Authority'** means the Central Electricity Authority referred to in sub-section (1) of section 70 of the Act;

(c) **'Commission'** means the Haryana Electricity Regulatory Commission;

(d) All other expressions used herein but not specifically defined herein but defined in the Act shall have the meaning assigned to them in the Act. The other expressions used herein but not specifically defined in the regulations or in the Act but defined under Haryana Electricity Reforms Act, 1997 (Act 10 of 1998) shall have the meaning assigned to them under the said Act, provided that such definitions in the Haryana Electricity Reform Act, 1997 are not inconsistent with the provisions of the Electricity Act, 2003.

3. Minimum Information and the Manner in which the Information is to be maintained. – (1) For the purpose of sub-section (8) of section 128 of the Act the licensee or the generating company, as the case may be, shall maintain such minimum information in

their books, in such manner, adopt such checks and other verifications in that connection and all other matters incidental thereto, as are necessary to enable the Investigating Authority to discharge satisfactorily its function, as per the requirement of the following Acts, Rules and Regulations etc.:

(a) terms and conditions of license,

(b) provisions of Companies Act, 1956,

(c) provisions of Income Tax Act, 1961,

(d) provisions of the rules, regulations, codes and directions issued by the Commission, the Authority, the Central Electricity Regulatory Commission, the Government of India and the State Government

(e) provisions for NRLDC, SLDC and STU

(2) The minimum information required to be maintained, the manner of maintenance of the information and requisite checks etc. shall include but not limited to:

(a) preparation of major accounting statements to be incorporated in the annual accounts in accordance with generally accepted Indian Accounting Standards and getting these accounting statements / annual accounts audited from the internal auditors, Chartered Accountant and statutory auditors,

(b) preparation of sub-accounting statements in accordance with approved accounting policies adopted by the licensee or the generating company and getting them audited from the internal auditors and the statutory auditors,

(c) preparation on regular basis all such accounting and technical information and submission of the same to the quarter concerned at such intervals of time as is required under provisions of the Act, rules, regulations and directions issued by the Commission,

(d) preparation of all such information required for ascertaining of Transmission loss, Distribution (technical) loss and Commercial losses, Cost to Serve different consumer categories at different voltage levels and at different times of day,

(e) preparation of information which may be required for introduction of Multi Year Tariff, Availability Based Tariff, Time of Day / Use Tariff as per the directions of the Commission,

(f) preparation of information covering all technical parameters such as:

(i) Load forecast

(ii) Load curves

(iii) Number & details of 11 kV feeders and capacity wise distribution transformers (DTs)

(iv) Number and details of Power Transformers, Transmission Lines and Sub-transmission Lines

(v) Healthy and defective meters at 11 kV feeders / DTs / consumer premises,

(vi) Healthy and defective meters at Interface Points / Power Transformer, Transmission Lines and Sub-transmission Lines

(vii) Consumers with defective meters

(viii) Testing of all types of meters

(ix) Connected Load of consumers,

(x) Voltage level of supply to various consumer categories

(xi) Prepaid metering

(xii) Preventive Maintenance schedule of equipment and Transmission / Sub-transmission and Distribution Lines and details of actual maintenance carried out

(xiii) Logging & record of all the operational parameters as per established standards & recommendations of original equipment manufacturers

(xiv) Maintenance of equipment maintenance registers indicating date of installation, name of supplier, broad technical specifications and record of maintenance carried out

(xvi) Preparation of equipment preventive maintenance schedules as per recommendations of original equipment manufacturers and established technical practices.

(xvii) Preparation of overhauling schedules and record of overhauling carried out.

(xviii)Record of performance, Unit wise and Station wise, indicating achievement of Plant Load Factor, Station Heat Rate, Auxiliary Consumption, Fuel Consumption, all other parameter relating to generation etc.

(xix) Record of unit wise tripping indicating cause, duration & analysis of each tripping and remedial measures required / taken to prevent recurrence of tripping

(xx) Record of receipt and consumption of fuel for generation of electricity

(g) getting, statutory audit, internal audit, energy audit, performance audit and special audit, conducted at regular intervals as per legal requirements and directions of the Commission,

(h) maintenance of information relating to investigations of failure of equipment and grid disturbances,

(i) maintenance of information relating to tripping and breakdowns of lines of all voltage levels,

(j) preparation of information relating to consumer satisfaction surveys, consumer complaints, legal cases, fatal & non-fatal accidents, amount of compensation involved etc.,

(k) preparation of information relating to application for new connections received, new connections released, connections temporarily & permanently disconnected and reconnection orders (RCO) effected,

(l) preparation of information relating to theft cases,

(m) preparation of information relating to inventory / stores,

(n) preparation of information relating to billing, collection and receivables; and

(o) preparation of information relating to human resources, retirement pattern and recruitment etc.

(3) The information shall be maintained on uniform and standard formats, to be devised, if not already devised, by the licensee or the generating company, with the approval of the Commission and presented in a meaningful manner.

(4) The information shall be maintained in both hard and soft forms and in such manner providing for further analysis on computers.

(5) The information shall be maintained on quarter-hourly, hourly, daily, weekly, fortnightly, monthly, quarterly, half yearly and yearly basis in accordance with the nature of information, with required analysis.

4. Information to be submitted to the Commission. - (1) Within thirty days from the date of coming into force of these regulations, the existing licensees and generating companies shall submit to the Commission, in a tabular form, the minutest details relating to:

(a) the types of information being maintained,

(b) the purpose of maintaining such information,

(c) the formats on which the information is being maintained,

(d) the intervals at which such information is being updated,

(e) the analysis being carried out by using the information being updated and maintained,

(f) the proposal for devising new formats and making changes in the existing formats in accordance with the techno-legal requirements,

(g) the checks and other verification adopted with regard to the information being maintained; and

(h) the types of audit and intervals at which the audit is sought to be conducted.

(2) The new licensees and generating companies shall adopt the procedure prescribed for the existing licensees and the generating companies unless otherwise changed procedure is warranted due to change of circumstances and such changes shall be subject to approval by the Commission

5. Issue of orders or directions. – Subject to the provisions of the Act and these regulations, the Commission may, from time to time, issue orders and procedural directions with regard to the implementation of these regulations and specify the procedure to be followed on various matters, which the Commission has been empowered by the regulations to direct and matters incidental thereto.

6. Power to amend. - The Commission may, at any time, add, vary, modify or amend any of the provisions of these regulations.

7. Power to remove difficulties. - If any difficulty arises in giving effect to any of the provisions of these regulations, the Commission may, by general or special order, make such provisions, which in the opinion of the Commission are necessary or expedient to do so.

T. S. Tewatia	T. R. Dhaka	Bhaskar Chatterjee
(Member)	(Member)	(Chairman)

HARYANA GOVERNMENT

HARYANA ELECTRICITY REGULATORY COMMISSION

Notification

The 7th November, 2007

Minimum Information to be maintained and the manner in which such Information is to be maintained by the Licensee or the Generating Companies

Regulation No. HERC/ 16 / 2007: - In exercise of the powers conferred on it by sub-section (1) and clause (zm) of sub-section (2) of section 181 of the Electricity Act 2003 (Act 36 of 2003) and all other powers enabling it in this behalf, the Haryana Electricity Regulatory Commission, after previous publication, hereby frames the following Regulations:-

1. **Short Title, Commencement, and interpretation. –** (1) These Regulations may be called the Haryana Electricity Regulatory Commission (Minimum Information to be maintained and the Manner in which such Information is to be maintained by the Licensee or the Generating Company) Regulations, 2007.

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(2) These regulations shall come into force on the date of their publication in the Haryana Government Gazette.

(3) These regulations shall extend to whole of the State of Haryana.

(4) The Punjab General Clauses Act 1898 (Act I of 1898) as applicable to the State of Haryana shall apply qua the interpretation of these regulations.

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(i) All other expressions used herein but not specifically defined herein but defined in the Act shall have the meaning assigned to them in the Act. The other expressions used herein but not specifically defined in the regulations or in the Act but defined under Haryana Electricity Reforms Act, 1997 (Act 10 of 1998) shall have the meaning assigned to them under the said Act, provided that such definitions in the Haryana Electricity Reform Act, 1997 are not inconsistent with the provisions of the Electricity Act, 2003.

3. Minimum Information and the Manner in which the Information is to be maintained. – (1) For the purpose of sub-section (8) of section 128 of the Act the licensee or the generating company, as the case may be, shall maintain such minimum information in

their books, in such manner, adopt such checks and other verifications in that connection and all other matters incidental thereto, as are necessary to enable the Investigating Authority to discharge satisfactorily its function, as per the requirement of the following Acts, Rules and Regulations etc.:

(a) terms and conditions of license,

(b) provisions of Companies Act, 1956,

(c) provisions of Income Tax Act, 1961,

(d) provisions of the rules, regulations, codes and directions issued by the Commission, the Authority, the Central Electricity Regulatory Commission, the Government of India and the State Government

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(b) preparation of sub-accounting statements in accordance with approved accounting policies adopted by the licensee or the generating company and getting them audited from the internal auditors and the statutory auditors,

(c) preparation on regular basis all such accounting and technical information and submission of the same to the quarter concerned at such intervals of time as is required under provisions of the Act, rules, regulations and directions issued by the Commission,

(d) preparation of all such information required for ascertaining of Transmission loss, Distribution (technical) loss and Commercial losses, Cost to Serve different consumer categories at different voltage levels and at different times of day,

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(r) preparation of information relating to consumer satisfaction surveys, consumer complaints, legal cases, fatal & non-fatal accidents, amount of compensation involved etc.,

(s) preparation of information relating to application for new connections received, new connections released, connections temporarily & permanently disconnected and reconnection orders (RCO) effected,

(t) preparation of information relating to theft cases,

(u) preparation of information relating to inventory / stores,

(v) preparation of information relating to billing, collection and receivables; and

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(c) the formats on which the information is being maintained,

(d) the intervals at which such information is being updated,

(e) the analysis being carried out by using the information being updated and maintained,

(f) the proposal for devising new formats and making changes in the existing formats in accordance with the techno-legal requirements,

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5. Issue of orders or directions. – Subject to the provisions of the Act and these regulations, the Commission may, from time to time, issue orders and procedural directions with regard to the implementation of these regulations and specify the procedure to be followed on various matters, which the Commission has been empowered by the regulations to direct and matters incidental thereto.

6. Power to amend. - The Commission may, at any time, add, vary, modify or amend any of the provisions of these regulations.

7. Power to remove difficulties. - If any difficulty arises in giving effect to any of the provisions of these regulations, the Commission may, by general or special order, make such provisions, which in the opinion of the Commission are necessary or expedient to do so.

By order of the Commission

Secretary
Haryana Electricity Regulatory Commission